

Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)(c)

(b)(6), (b)(7)(c) at (b)(6), (b)(7)(c) or e-mail him at (b)(6), (b)(7)(c) to discuss this.

1. Name, date and time, and location of event.

Public Affairs Evening in honor of Preet Bharara on Thursday, April 14, 2016,
at 6:30 p.m.

2. Format of event (e.g., cocktails; presentations; speeches; and/or meal).

6:30 p.m. Cocktails
7:00 p.m. Dinner Seating/Welcome by (b)(6), (b)(7)(c)
First Course
7:40 p.m. Introduction of Preet Bharara
7:45 p.m. Preet Bharara speaks
8:05 p.m. Main Course and Dessert
8:45 p.m. Q & A ((b)(6), (b)(7)(c) announces)
9:15 p.m. Award of Distinction Presentation
9:20 p.m. Acceptance Remarks (Preet Bharara)

3. Estimated cost per attendee of event.

\$80 per person plus surcharge and tax

4. Are any attendees being charged to attend the event?

Yes

- a. If so, who (in general) is being offered free attendance, and who is expected to pay?

Members of the Lotos Club and their guests are charged for their attendance. Preet Bharara and three of his guests are guests of the Club.

5. Name of entity extending the invitation and link to web site (if any).

The Lotos Club: <http://www.lotosclub.org>

- a. Does the entity extending the invitation engage in lobbying?

No

6. Sponsor of event if different from above.
The Public Affairs Committee of the Lotos Club
- a. Does the sponsor of the event engage in lobbying?
No
7. Names of additional entities that will be recognized for sponsorship or support of the event.
N/A
8. How many persons are expected to attend?
Approximately 80 people are expected to be in attendance.
9. Demographics of expected attendees (e.g., lawyers; law enforcement; business leaders; students; etc.)
Only members of the Lotos Club and their guests are invited to attend this event. The average age of the audience is 65 years old and it is about 50% male and 50% female. The audience is highly educated and knowledgeable about current events, and includes members of the professions.
10. Are any elected officials expected to attend and, if so, what is their expected role?
No
11. Is there a fundraising component to the event? (e.g., program advertisements; dinner ticket price; silent auction)? If so, please describe.¹
No
12. Expected role of U.S. Attorney (e.g., simple attendance; remarks; speech)
To speak on a topic of his choice (about twenty to thirty minutes) followed by Q&A
13. If the U.S. Attorney is being honored:
- a. What are the criteria your organization applies to determine who should be honored for this specific award?
Preet Bahrara will be given the Lotos Award of Distinction. The Lotos Club Award of Distinction is a symbol of appreciation and respect. Recipients of this award are

¹ For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other information about the program. He may not be listed on any “save the date” notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

men and women who have distinguished themselves in their particular fields of endeavor. They are people of outstanding intellect, talent and integrity whose accomplishments have affected and enriched the world around them.

- b. What is the internal process by which your organization selects potential honorees?
Honorees for Public Affairs Evening are vetted by the Public Affairs Committee of the Lotos Club.
- c. For what specific public service or achievement do you wish to honor the U.S. Attorney?
His inspired leadership of the Office of the U.S. Attorney for the Southern District of New York.
- d. Did any of the accomplishments of the U.S. Attorney which you wish to honor directly affect the organization or its members? (Describe.)
None
- e. Do any of the board members or host committee or senior staff have any matters pending before or involving the United States Attorney's Office for the Southern District of New York?
Not to our knowledge
- f. Please provide a description and the value of any award item (if the award item is a plaque, certificate or trophy of little intrinsic value, you may so indicate).
The Lotos Award of Distinction is a small engraved glass plaque and is valued at \$80.
- g. Who else is expected to be honored at the event?
Preet Bhara is the only person being honored at this event.

14. If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel?

Preet Bhara is the only speaker at this event.

- a. Will the U.S. Attorney be presented with a token or memento in appreciation for his presentation?
 - i. ☐ Nothing
 - ii. ☒ Plaque or other memento of little intrinsic value
 - iii. ☒ Other. Please describe, including its value.

Preet Bharara will also receive one-year clubhouse privileges at the Lotos Club, which would permit him to use the dining room, bedrooms, attend club events and host private functions at his own cost. The value for this is nominal.

15. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating (depending on the nature of the event, the U.S. Attorney may or may not be able to accept “dais” seating.)

Preet Bharara will be seated at a table of ten with the chair of the Club’s Public Affairs Committee, (b)(6), (b)(7)c ; the President of the Club, (b)(6), (b)(7)c and Public Affairs Committee member (b)(6), (b)(7)c .

16. Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year’s event).

This event has not been promoted yet. Once material is prepared it can be sent to your office for review.